How the Structure of the Constraint Space Facilitates Learning

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The Talk in a Nutshell

Previously on this Topic

▶ Efficient Learning of Segmental Phonotactics and Mappings
▶ Question: How to extend these learners for feature-based constraints? (Cf. Hayes and Wilson 2008)

Today We

▶ Describe the lattice structure of the space of feature-based constraints
▶ Show how Learners can utilize this lattice to generalize constraints.
### The Talk in a Nutshell

#### Previously on this Topic

- Efficient Learning of Segmental Phonotactics and Mappings
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#### Today We

- Describe the lattice structure of the space of feature-based constraints
- Show how Learners can utilize this lattice to generalize constraints.
Learning cannot take place without a restricted hypothesis space.

G2 is not drawn from an unrestricted set of possible grammars.

Hypotheses available to the learner ultimately determine:

- the kinds of generalizations made
- the range of possible natural language patterns

Learning with Locality

Figure: 1 window of size $k$

(Garcia et al. 1991, Heinz 2010)
Learning with Precedence

Figure: $k$ windows of size 1

(Heinz 2010)
“Could there be a non-statistical model like [Heinz’s] that learns by memorizing feature sequences? The problem confronting such a model is that any given segment sequence has many different featural representations. Without a method for deciding which representations are relevant for assessing wellformedness (the role that statistics plays in Maxent-Ftr) learning is doomed.”
Example

Suppose the sequence *nt* is absent from a corpus. There are many possible constraints that could explain its absence:

*nt
* [+nasal][+coronal]
* [+consonant][+coronal,-continuant]
* [+sonorant][-sonorant]
    
How can a learner decide which of these constraints is responsible for the absence of *nt*?
Example

Suppose the sequence \( nt \) is absent from a corpus. There are many possible constraints that could explain its absence:

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*+[sonorant][-sonorant]
....
\]

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Suppose the sequence $nt$ is absent from a corpus. There are many possible constraints that could explain its absence:

* $nt$
* [+nasal][+coronal]
* [+consonant][+coronal,-continuant]
* [+sonorant][-sonorant]
  
  ....

How can a learner decide which of these constraints is responsible for the absence of $nt$?
Hayes & Wilson 2008

- Given an innate feature system, order a list of possible featural constraints by constraint length and generality.
- Input a batch of feature bundle strings as learning data.
- Use MaxEnt and Observed/Expected ratios to discover the most general constraints.

Why no structure in the constraint space?

- The nature of features gives a particular order and structure to the space of possible constraints.
- Let the learner exploit this structure as much as possible when making inferences from data.
- Use its size to our advantage!
Definition (Feature Extensions)

Let $s$ and $t$ be segments represented as bundles of $n$-ary features.

Then $t$ is an **feature extension** of $s$ for grammar $G$ ($s <_G t$) iff $t$ is the result of inserting one or more $n$-ary features of $G$ in $s$. 
Containment Closure

If $t$ is a feature extension of $s$ for $G$ and $G$ generates $t$, then $G$ generates $s$.

Example

```
[-N,-V,-C]
```

```
[-N,+V,+C]
[-N,+V]
[-N,+C]
[-N]
```
Containment Closure

If $t$ is a feature extension of $s$ for $G$ and $G$ generates $t$, then $G$ generates $s$.

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Containment Closure

If \( t \) is a feature extension of \( s \) for \( G \) and \( G \) generates \( t \), then \( G \) generates \( s \).

Example

\[
\begin{align*}
\checkmark \quad [-N, +V] & \quad [-N, +V, +C] \\
[-N] & \quad [-N, +C] \\
\end{align*}
\]
If $t$ is a feature extension of $s$ for $G$ and $G$ generates $t$, then $G$ generates $s$.

Example

\[
\begin{array}{c}
\left[-N,+V,+C\right] \\
\left[-N,+V\right] & \left[-N,+C\right] \\
\left[-N\right] \\
\end{array}
\]
Containment Closure

If \( t \) is a feature extension of \( s \) for \( G \) and \( G \) generates \( t \), then \( G \) generates \( s \).

Example

\[
\begin{array}{c}
\checkmark
\end{array}
\]

\([-N, +V]\) \quad \checkmark \quad \begin{array}{c}
\checkmark
\end{array}
\]

\([-N, +V, +C]\)

\([-N, +C]\)

\([-N]\)

\([-N, +V]\)
Containment Closure

If $t$ is a feature extension of $s$ for $G$ and $G$ generates $t$, then $G$ generates $s$.

Example

Diagram:

- $[-N, +V, +C]$
- $[-N, +V]$
- $[-N]$
- $[-N, +C]$
Containment Closure

If \( t \) is a feature extension of \( s \) for \( G \) and \( G \) generates \( t \), then \( G \) generates \( s \).

Example

\[
\begin{array}{c}
[N, +V] \\
\checkmark
\end{array} 
\begin{array}{c}
[N, +V, +C] \\
\checkmark
\end{array} 
\begin{array}{c}
[N, +C] \\
\ast
\end{array} 
\begin{array}{c}
[N] \\
\checkmark
\end{array}
\]
Containment Closure

If $t$ is a feature extension of $s$ for $G$ and $G$ generates $t$, then $G$ generates $s$.

Example

Parallels to Logical ‘And’

- $\downarrow\downarrow$ Grammaticality is Downward Entailing w.r.t. $<_G$
  
  $a \land b = 1$ implies $a = 1$

- $\uparrow\uparrow$ ungrammaticality is upward entailing w.r.t. $<_G$
  
  $a = 0$ implies $a \land b = 0$

Example

\[-N\]  
\[-N,+V\]  
\[-N,+V,+C\]  
\[\ast\]  
\[-N,+C\]  
\[-N\]
Suppose we observe in a language

- \([+N,+V,+C]\) (voiced nasal consonants),
- \([-N,+V,+C]\) (voiced nonnasal consonants),
- \([-N,-V,+C]\) (voiceless nonnasal consonants),
- \([-N,+V,-C]\) (voiced nonnasal vowels),

What constraints ought to be posited?

\([-N,-V,+C]\) is a feature extension of \([-N,-V]\), \([-N,+C]\), \([-V,+C]\).
These are feature extensions of \([-N]\), \([-V]\), \([+C]\).
And the empty feature bundle \([\emptyset]\).
This partial ordering forms a **semi-lattice**.
Example

Figure: The learner eliminates ALL factors contained in observed examples
Example

Figure: The set of most general ones which remain are the constraints!
Moving to Substructures

Containment Closure Still Holds
Moving to Substructures

Containment Closure Still Holds
Semilattice Explosion (Hayes and Wilson 2008)

<table>
<thead>
<tr>
<th>Table 2</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number of possible constraints for various values of $</td>
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</table>

| $|C|$ | 30 | 100 | 200 | 400 |
|------|----|-----|-----|-----|
| 1    | 30 | 100 | 200 | 400 |
| 2    | 900| 10,000| 40,000| 160,000 |
| $n$  | 3  | 27,000| 1,000,000| 8 million| 64 million |
| 4    | 810,000| 100 million| 1.6 billion| 26 billion |
| 5    | 24 million| 10 billion| 320 billion| 10 trillion |

$|C|$ is the number of natural classes and $n$ is the length of the constraint.
Is Learning doomed? No. But Hayes & Wilson are right that the direction of induction matters.

**Top-Down Induction**

- Start at the most specific points (highest) in the semilattice
- Remove all the substructures from the lattice that are present in the data.
- Collect the most general substructures remaining.

**Bottom-Up Induction**

- Beginning at the lowest element in the semilattice,
- Check whether this structure is present in the input data.
- If so, move up the lattice, either to a point with an adjacent underspecified segment, or a feature extension of a current segment, and repeat.
2D Schema of a Semilattice of Constraints
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Is this sub-structure in the data sample?
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Is this sub-structure in the data sample?
On what grounds can the learner prefer one set of constraints over another?

Criteria specifying whether a given set of constraints is acceptable w.r.t. data.

We want constraints:

- whose largest forbidden substructure is of size $k$
- which cover the data, i.e. $D \subseteq L(G)$
- which are more specific than all the other constraints $G'$ that cover the data, so $L(G) \subseteq L(G')$
- which forbid structures $S$ that are substructures of structures $S'$ forbidden by other grammars $G'$ that satisfy (1,2,3)
  - For all $S' \in G'$, there exists $S \in G$ such that $S \subseteq S'$. 
Conclusion and Open Problems

Today’s Results

▶ Possible constraints are structured as a semilattice.
▶ Hayes and Wilson are right to look for shorter, more general constraints, but there is richer structure in the space for them and us to take advantage of.

Things To Do

▶ Prove that Bottom-Up Induction always satisfies the learning criteria.
▶ Determine the trade-off between data sparsity and time complexity. We hypothesize sparser data should yield faster generalization.
▶ Extend results to learning phonological transformations.
Thanks!

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